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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	00AB025
First Inventor or Application Identifier	Francisco P. Maturana
Title	GLOBAL RESOURCE LOCATOR FOR AUTONOMOUS COOPERATIVE CONTROL SYSTEMS
Express Mail Label No.	EK92791 5228US

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APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO:

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- 1 ☒ Fee transmittal Form
(Submit an original and a duplicate for fee processing)
- 2 ☒ Specification [Total Pages 21]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed Sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3 ☒ Drawing(s) (35 USC 113) [Total Sheets 3]
4. Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from prior Application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed Statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 5 ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.

- 6 ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above

ACCOMPANYING APPLICATION PARTS

- 8 ☒ Assignment Papers (cover sheet & documents)
 - 9 ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(where there is an assignee)
 - 10 ☐ English Translation Document (if applicable)
 - 11 ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
 - 12 ☐ Preliminary Amendment
 - 13 ☒ Return receipt postcard (MPEP 503)
(Should be specifically itemized)
 - 14 ☐ *Small Entity Status still proper and desired
Statement(s) ☐ Statement filed in prior application
 - 15 ☐ Certified copy of priority Document(s)
(if foreign priority is claimed)
 - 16 ☐ Other:
- * A new statement is required to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

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- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. /
Prior application information: Examiner: Group/Art Unit:

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PATENT APPLICATION FOR
GLOBAL RESOURCE LOCATOR FOR
AUTONOMOUS COOPERATIVE CONTROL SYSTEMS

By

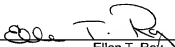
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Date: July 24, 2000



Ellen T. Roy

GLOBAL RESOURCE LOCATOR FOR
AUTONOMOUS COOPERATIVE CONTROL SYSTEMS

CROSS-REFERENCE TO RELATED APPLICATIONS

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5 STATEMENT REGARDING FEDERALLY
SPONSORED RESEARCH OR DEVELOPMENT

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BACKGROUND OF THE INVENTION

09621718-072400
10 The present invention relates to industrial control systems and the like and in particular to an industrial control system comprised of many distributed controllers dividing job tasks among themselves using "market model" based bids and counter bids.

15 In a centralized model for industrial control, a single central controller (being a specialized computer) coordinates all aspects of the controlled process. Input signals representing values from sensors on the controlled process are communicated to the central controller which executes a control program to develop output signals which are communicated to actuators on the controlled process.

20 The centralized model is conceptually simple and requires programming only a single device. Nevertheless, the centralized model has some disadvantages. Control systems using this model are particularly vulnerable to failure of the single central controller. Further, communication of all I/O signals to a central location and executing the control program on a single computer, particularly for large control systems, can place undue burdens on processing resources and communication bandwidth.

25 In the distributed model for industrial control, the control program is executed by a number of spatially separate controllers intercommunicating only as needed on a common network. By placing these controllers near relevant I/O points, the communication of large amounts of I/O data is diminished. Having multiple control devices can also reduce the susceptibility of the control system to failure of any one device.

30 One difficulty with distributed control is that of developing the multiple control programs to dividing the control tasks among the various distributed controllers and coordinating their actions. One promising method of both dividing the control task

among the controllers and coordinating their actions borrows from a market model of the economy in which many different autonomous individuals organize themselves (through bidding and counter bidding) to produce complex products or services without central control. In such autonomous cooperative systems (ACS), a job description is presented to
5 a large number of autonomous cooperative units (ACUs), which, based on knowledge of their own capabilities and limitations, bid on portions of the job and counter bid in response to requests for bids. Control programs are simply developed and the potential exists for control programs to be quickly changed as new circumstances develop. Examples of this would be if it is desired to produce a new product or if ACUs (and
10 associated equipment) are introduced or removed from the system. A description of some such of autonomous control systems are described in co-pending patents.

For an autonomous cooperative system to produce an optimized outcome, it is desirable that many different of divisions of the job among the ACUs be explored and thus that a large number of bids be collected from many different ACUs. This is done by
15 allowing each ACU to make multiple simultaneous requests for bids from other ACUs. It follows that a single ACUs may receive multiple requests for bids from multiple other ACUs each of which must be considered in a separate "context" and each of which may mature into a different completed bid.

The bidding process for each context requires a large number of bidding messages
20 be transmitted over the network 16. Such messages generally include "bid requests" and replies to bid requests including "bid success", "bid failure" and "counter bid" messages. The numbers of messages increases geometrically with the number of ACUs involved and these messages can easily overtax even high-speed communication networks thus delaying the determination of a control solution. This delay limits the usefulness of an
25 ACS in responding quickly to changing control situations and effectively limits the size of control problems that may be advantageously handled by the ACS.

What is needed is a way to realize a freely scalable ACS system that may rapidly determine an optimized control solution that may be implemented with existing hardware having network bandwidth and processing limitations.

BRIEF SUMMARY OF THE INVENTION

The present invention provides an ACS significantly reducing the number of bid
30 messages needed to provide a solution, and thus requiring less network bandwidth and ACU processing power. The reduced number of bid messages is the result of two

techniques. The first technique is the creation of a registry that directs a given ACU wanting to request a bid to other likely ACUs for receiving that bid. Bid messages sent to ACUs having a low likelihood of successfully responding are thus reduced.

In the preferred embodiment, at the time the ACUs are initialized, each ACU transmits its capabilities (such as might be provided to a requesting ACU) one time only to the central registry rather than many times to each requesting ACU. The central registry, by providing a single source overview of the capabilities of other ACUs, can significantly shorten the time required for a given ACU to find other ACUs of particular capabilities. The registry thus acts like a central clearinghouse or central market in simplifying transactions.

The second technique of the present invention employs a cache-like structure in each ACU into which portions of the registry may be downloaded. Unlike a conventional computer cache, the cache holds potential relationships between the given ACU and its bidding partners. This technique reduces network traffic by allowing the ACUs, in many cases, to accurately identify other relevant ACUs without referring directly to the registry eliminating the registry directed messages. When the necessary data is not found at the cache, a request may be made of the registry and the registry may use this to further refine its prediction of which data should go into the cache.

Specifically then, the present invention provides a method of coordinating a plurality of autonomous cooperative units implemented in computers intercommunicating on electronic network and operating to divide a predefined job among ACUs by a bidding process including bids communicating among the ACUs. The method provides that for each ACU, a subset of all ACUs of the system are identified as potential bid request receivers. This subset may initially be based on the capabilities of the ACUs as being compatible with capabilities of other ACUs. This subset is stored as a list of potential bid receivers in the computer implementing the ACU. When the ACU receives a description of the job, it preferentially communicates requests for bids related to the description of the job from the given ACU only to other ACUs on the list of potential bid request receivers.

It is thus one object of the invention to provide a systematic exploration of solution space as regards possible combinations of ACUs to compete bids for a given job. The list is selected to indicate likely candidates for the bidding process thus greatly simplifying the number of ACUs that need to communicate and reducing total communications.

The method may include storing at a designated computer a relation table relating each ACU to capabilities of potential bid request receivers for that ACU. The designated computer may collect from each ACU on the network, that ACU's capabilities and may reply to requests from these ACUs, looking for potential bid request receivers, with those
5 ACUs having capabilities matching the capabilities of potential bid request receivers of the given ACU in the relation table.

Thus it is another object of the invention to centralize communication of capabilities among ACUs and thus to eliminate many separate transactions between ACUs that would redundantly communicate these capabilities between ACUs.

10 Each ACU may transmit its capabilities to the designated computer upon initialization of the ACU.

Thus it is another object of the invention to automatically generate the relation table at the initialization thus eliminating it as a task necessary to the programmer and reducing the burden of this process during run time.

15 The designated computer may also implement at least one of the ACUs.

Thus it is another object of the invention to provide the benefit of the designated computer without departing from the distributed model of the autonomous cooperative system.

The process of preferentially communicating requests for bids with other ACUs
20 based on this list may include the steps of reviewing the list of potential bid request receivers for ACUs likely to complete a portion of the job based on information about likelihoods incorporated into the list. When there are no likely ACUs, the method may include communicating with the designated computer to receive a new cluster of ACUs to update the list of potential bid request receivers and then preferentially communicating
25 with an ACU on the updated list.

Thus it is another object of the invention to provide an efficient communication between the more comprehensive list of potential bid request receivers contained at the designated computer and the smaller but more efficient list contained at the device implementing the ACU. This updating process potentially allows each ACU to have
30 ultimately the full range of ACUs in front of it but allows internal and efficient access to the most likely ACUs within that range.

When the ACUs in the list are considered unlikely to successfully complete a portion of the project because of their historical responses, the designated computer provides a new list of ACUs that are simply different from those previously provided.

Where the likelihood is based on the lack of ACUs of a given capability (for example, as a result of an unexpected job) the designated computer alters relation list to provide ACUs under the criterion of having the needed capability.

Thus it is another object of the invention to exploit whatever information is
5 available to focus the bidding process on the most likely bidding candidates.

The foregoing and other objects and advantages of the invention will appear from the following description. In this description, reference is made to the accompanying drawings, which form a part hereof, and in which there is shown by way of illustration, a preferred embodiment of the invention. Such embodiment and its particular objects and
10 advantages do not define the scope of the invention, however, and reference must be made therefore to the claims for interpreting the scope of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a simplified perspective view of a manufacturing process including a number of separate machines intercommunicating via distributed computers connected
15 over a network such as may be used with the present invention;

Fig. 2 is a block diagram of one of the computers of Fig. 1 showing a standard architecture for control including a processor, a memory holding data and programs executed by the processor, a network card providing an interface to the network of Fig. 1 and I/O circuits for communicating with the machines of Fig. 1;

Fig. 3 is a functional diagram of the program and data structures stored in the memory of Fig. 2 including programs implementing autonomous cooperative units, subcontract bid managers associated with each autonomous cooperative unit, a cache-type local resource locator and optionally a global resource locator, the latter which may be implemented in one but not all of the computers;

Fig. 4 is a hierarchical diagram showing organization and communications
25 between the programs and data structures of Fig. 3 as implemented in different computers of Fig. 1;

Fig. 5 is a block diagram showing the operation of an autonomous cooperative unit its subcontract bid manager, the local resource locator and global resource locator of
30 Fig. 4 when a new autonomous control unit is initialized such as creates lists of potential bid request receivers in the local resource locator;

Fig. 6 is an expanded fragmentary representation of data stored in the global resource locator in a registry and relation table, a portion of which as is communicated

with and stored in the local resource locator the latter which also holds a success history table;

Fig. 7 is a flow chart showing programs of the subcontract bid managers in managing the data of the global resource locator and the local resource locator;

- 5 Fig. 8 is a flow chart of the operation of the subcontract manager of Fig. 4 in updating the success history of Fig. 6;

Fig. 9 is a tree structure showing a virtual cluster assembled by operation of the global resource locator and local resource locator providing for success weights from the success history table indicating methods of calculating likely success in bid

- 10 subcontracting;

Fig. 10 is a diagram representing the timing constraints on bidding in the present invention; and

Fig. 11 is a representation of the data structures and functions collected in the global resource locator of Fig. 3.

15 DETAILED DESCRIPTION OF THE INVENTION

Referring now to Fig. 1, a distributed industrial control system 10 may include a plurality of machines 12, for example, manufacturing machines such as drills, lathes, ovens, mills and the like, each associated with an electronic computer 14 configured for electrical communication through I/O lines 30 with the machines 12.

- 20 The electronic computers 14 may be linked to each other via a network 16 of a type well known in the art allowing for connected messaging or other communication protocol between the various computers 14 whereby each of the computers 14 may produce messages for or consume messages from other computers 14. A human machine interface HMI 20, being a conventional computer terminal or other similar device, may be
25 attached to the network or one of the computers (as shown) allow for programming of the various computers 14 or data entry as will be described.

- Referring now to Fig. 2, each computer 14 includes a network interface 18 of conventional design for transmitting and receiving messages on the network 16 and communicating them to an internal bus 22. The internal bus 22 links the network
30 interface 18 with computer memory 24, a processor 26 and I/O circuits 28, the latter which provide I/O lines 30 leading to sensors or actuators on the machines 12. A secondary communication port 32 may be available for connection to the human machine interface 20 as described above. The memory 24 may hold within it a conventional

multitasking operating system, for example, Windows NT (not shown) under which the various programs of the present invention may be simultaneously executed as tasks.

Referring now to Fig. 3, as is generally understood in the art and as is described in the above referenced patents, under the operating system, in each computer 14, the present invention executes tasks that implement autonomous cooperative units (ACUs) 34 configured for particular machines 12 with which the computer 14 and ACU 34 are associated. As part of its creation, each ACU 34 is programmed, for example, through HMI 20, with data representing the capabilities 48 of the machine 12 with which it is associated. This data indicates both the generic operation or operations performed by the machine 12 (termed: "services") and particular values quantitatively delineating that service (termed: "parameters"). For example, a rolling mill would have a service of "rolling material to reduced thickness" and might have parameters indicating maximum rolling speed, percentage reduction and the like. The particular capabilities 48 will be defined for specific machines 12 according to a standard job description language (JDL).

Each ACU 34 also includes a set of goals indicating a local optimization that the ACU 34 will try to achieve within its parameters, and programs executing protocols for receiving the description of the job (written in JDL) that the ACUs 34 are called upon to complete.

Upon receipt of the job description, each ACU 34 will parse the description, bidding on portions of it matching their capabilities 48 and forwarding other portions in a subcontracting process to other ACUs 34 along with requests for bid messages. Preferably, the ACUs 34 communicate with other ACUs 34 via an agent language such as KQML. ACUs 34 may successfully bid on parts of the job reply with a bid success message upward to the bid requesters and in this way complete bids on the total job may be assembled at the uppermost ACU 34 in the bidding chain. Complete bids, meaning those having one ACU 34 successfully bidding on each part of the job, are compared to select a winning bid describing how the job will be allocated among the ACUs 34.

Through this bidding process, the ACUs 34 mimic the actions of individuals within a market economy to divide up the parts of a job for execution by the various ACUs 34 without need for centralized coordination. When the machines 12 are reconfigured (e.g., configured to different settings, added or removed), the new set of ACUs 34 may quickly reallocate their responsibilities using the same processes.

Referring still to Fig. 3, the present invention adds to the prior art ACUs 34 contained within the computers 14 three additional structures.

The first additional structure is a subcontract bid manager (SCBM) 36. The SCBM 36, which will be described in greater detail below, generally manages requests for bids produced by the ACUs 34. In this way, the operation of the SCBM 36 in steering bids (as will be described) is invisible to the ACU's, simplifying their design. A given
5 computer 14 may contain a number of ACUs 34 each associated with a SCBM 36. The SCBMs 36 may communicate directly with other ACUs 34 in the particular computer 14 or another computer 14 and may also communicate with the local resource locator (LRL) 38 also contained in the computers 14 which serves to direct them to likely ACUs 34 to receive requests for a bid.

10 The second additional structure is this LRL 38 which is replicated only once for each computer 14 and which provides a cache-like storage area shared by the SCBMs 36 and communicating with the SCBMs 36 on the high speed internal bus 22 as opposed to the slower network 16. Operation of the LRL 38 will also be described in greater detail below.

15 The third additional structure is a global resource locator (GRL) 40. The GRL 40 is generally implemented in a single of the computers 14 as indicated by the dotted lines however its influence extends among all of the computers 14. As will be described in more detail below, and referring also to Fig. 11, the GRL 40 provides a number of functions including serving as a central clearinghouse for information needed by the
20 ACUs 34 in the bidding process per registry table 51. This information may be selectively downloaded to the LRL 38, based on the operation of a capability matcher 108, to create communication clusters which serves to reduce communications over network 16. The GRL 40 also modifies these clusters according to learning protocols 104, and applies coordination rules (such as implements bid expiration timers) per context
25 coordination protocols 102. The GRL 40 is also an ACU 34 and generally handles inter ACU communication per communication protocols 106.

The following processes are implemented by data and programs implemented in these additional structures with little additional programming of the ACU's 34. Although particular functions will be ascribed to particular ones of these structures of the GRL 40
30 the LRL 38 and the SCBM 36, it will be understood to one of ordinary skill in the art that the function responsibilities can in some cases be moved from one element to another and that the invention should not be considered to be limited to this functional division except insofar as it is essential to the purposes described herein.

used in conjunction with the capabilities 48 of the particular ACU 34. These dependent services 56 provide an initial means to identify other ACUs 34 that are promising targets for requests for bids. For example, if ACU 34a (having an identification 46 of 1) is a heater, it may logically be followed by a rolling mill as indicated in the relation table 54.

- 5 Thus, for example, in a job requiring heating, then rolling and cooling of metal strips, ACU 34a would bid on the heating and then need to request bids on the rolling.

Specifically, the relation table 54 may be created by applying known relationships between services (e.g. heating and rolling) and matching the services of the ACUs 34 from the registry to the appropriate corresponding dependent service 56 per the relation table 54. Alternatively, at the time of creation of the ACUs 34 per process block 41, dependent services 56 can be identified. The relation table 54 may hold multiple dependent services 56 for each ACU 34.

Alternative or in addition, the relation table 54 may indicate physical connections between machines 12 such as may further limit relations between ACUs 34 insofar as the machines 12 may need a direct path of communications. In this regard, the dependent services 56 may simply identify specific other ACUs 34 by identification numbers, for example, those having a material transfer path connecting to ACUs in sequential fashion. As will be seen, the initial loading of the relation table may be modified as the process continues and thus is not critical in accuracy.

20 Referring again to Fig. 5, as shown by process block 58, upon receiving the registrations from a given ACU 34, the GRL 40 consults the relation table 54 and multicasts the identity of the given ACU 34 and its capabilities to the LRLs 38 associated with other ACUs 34 that list dependent services 56 in the relation table 54 matching the capability 48 of the given ACU 34 recorded with the registry table 51. This is under the control of the capability matching protocol 108 shown in Fig. 11. These transmissions may be updated as new ACUs 34 are registered. Generally the LRLs 38 have less storage capacity than the registry and hence the GRL 40 limits the multicast to data on a "cluster" of ACUs 34, the identity of which is stored in a cluster record 86 being part of the registry. Initially the cluster may be randomly chosen. The multicasting and other inter-ACU communications tasks are handled by the GRL 40 using agent communication protocols known in the art and as indicated by block 106 of Fig. 11.

The LRLs 38 receiving this multicast, enter the transmitted data under the appropriate row entries 44 of the ACUs 34. Thus for example, as shown in Fig. 6, when ACU number 3 having a capability 48 including "rolling" is entered into the registry table

51, the GRL 40 scans the relation table 54 and determines that the ACU number 1 may logically send requests to bid to this ACU number 3. The data for ACU number 3 (a potential bid request receiver) is then enrolled in the LRL 38 responsible for ACU number 1 beneath the row entry 44 for ACU number 1. In this way, an individual ACU
 5 34 may consult with the LRL 38 to find likely candidates for receiving bid requests without network traffic.

At the same time that the potential bid request receivers are enrolled in the LRL 38, they are enrolled in success history table 72 also held in the LRL 38. A first column of the success history table 72 holds the identification 46 of an ACU 34 associated with
 10 the LRL 38 and a second column holds the identification 46' of the potential bid request receiver downloaded to the LRL 38. A third column shows a priority values 78 indicating likely success in bids between these ACU's based on historical data to be collected. Initially this priority value is set to one however it will vary over time as more historical data is collected as to how likely it is that the indicated ACU will return a successful bid.

15 At the conclusion of this registration process, each of the ACUs 34a through 34c is registered with the GRL 40 and the associated LRLs 38a through 38c contain a list of potential bid recipients for their ACUs 34.

Referring now to Fig. 7, the value of the LRL 38 and the GRL 40 is illustrated as a given ACU 34 receives a bid request associated with a job description or portion of the
 20 job description (in JDL) as indicated by process block 64. According to standard operation of the ACU 34, the ACU 34 examines the job description to see if it has parts that match the capabilities of the equipment 12 associated with the ACU 34 as indicated by decision block 66. If the ACU cannot perform a part of the job, the bid is rejected and communicated to the requestor as indicated by arrow 67. On the other hand, if the ACU
 25 34 can perform a part of the job, the ACU 34 consults with its LRL 38 via the SCBM 36 as indicated by decision block 68 to see whether they are potential candidates for sub bidding.

At process block 64, it should be noted that multiple ACUs may send bid requests to a particular ACU 34 and each is provided with a separate context. Multiple contexts
 30 allow a given ACU to act as if the requests for bids were received by separate ACUs with the same address. Referring again to Fig. 6, this process involves reviewing the rows of the LRL 38 beneath the inquiring ACU's row entry 44 to see if there are other ACUs 34 enrolled with the necessary capabilities required by parts of the job description not being performed by the inquiring ACU 34. If no potential bid requesters having suitable

capabilities are listed in the LRL 38, the process continues at process block 70 as will be described below.

On the other hand, if potential bid requesters are listed in the LRL 38, then the success history table 72 is consulted to determine whether these candidates have historically provided successful bid responses. The identification 46 of the particular ACU 34 seeking to make a bid request is located in the first column of the success history table 72 and the identification 46 of the potential bid request receiver is located in a second column together to define a row which includes a priority value 78. This priority is compared against a predetermined threshold, for example, 0.5 and if it is greater than that, a bid request receiver is considered successfully found and a request for bid will be forwarded to this identified ACU per process block 94 as will be described below. If however, none of the candidates subcontracting ACUs have sufficiently high priority, the program proceeds again to process block 70. Repeated failure to find successful bid recipients in the success history table 72 may be used to modify the threshold against which priorities are compared.

Failure to find a suitable bid recipient in the LRL 38 is not conclusive, because LRLs 38 tend to be limited by the small size of the computers 14 and far greater information is contained in the registry table 51 of the GRL 40. Accordingly, if no suitable bid request receiving ACU is found, the SCBM 36 communicates with the GRL 40 to obtain a new cluster of related ACUs 34. The GRL 40 may respond in two ways according to the learning protocol 104 (shown in Fig. 11). If the needed bid recipient ACU is of dependent services 56 not listed in the relation table 54 for the requesting ACU 34, the GRL 40 will update the relation table 54 by adding the new dependent service 56 and using this new dependent service 56 will rescan through the registry table 51 to obtain a new cluster of ACUs.

Alternatively, it may be the case that process block 70 is reached when bid request receiving ACUs with the proper dependent capability were found in the LRL 38 but their priority values 78 in the success history table are too low. In this case, the GRL 40 will select a new set of ACUs from the registry table 51 different from those listed in the record 86.

Tracking of previously provided ACUs may be held in the record 86 so that rejected ACUs will not be resubmitted to the LRL 38 until all others have been exhausted.

As the new cluster of ACUs is enrolled in the LRL 38, the success history table 72 is also updated and priority values for these new ACU's are set to one. This indicated by process block 88. The program then proceeds to process block 68 as described above.

Referring still to Fig. 7, if an ACU within the cluster and suitable for receiving a bid is identified at process block 68, then at process block 80, a bid request is submitted to this ACU 34. The results of this bid request are then reported back as indicated by arrow 67. In order to simplify and reduce network transmissions, this transmission reporting back follows the following rules:

At process block 96, if the reply to a bid request (for each context) contain any successes indicating not only that the ACU to which a request for bid was submitted responded favorably, but that it found all necessary subcontracting ACUs to respond favorably, then only successes and the data from those ACUs on responding to the bid are forwarded to the proceeding ACU. If there are multiple successes from different contexts, each success is forwarded. The reporting of counter bids and failures are thus truncated preserving network bandwidth.

If there are no successes, then if any of the bid responses are counter bids, only the counter bids are forwarded. If there are multiple counter bids from different contexts, each counter bid is forwarded.

If there are no successes or counter bids, then only failures are forwarded together with reports as to why the failures occurred.

Referring now to Fig. 8, one ACU 34 associated with a product rather than a piece of equipment, may be nominated to submit the original job description with all its parts as indicated by process block 97. This ACU 34 may then receive the bid responses described above as indicated by process block 98. As shown by process block 100 messages indicating successful bids are then multicast to all LRLs 38 of ACUs 34 contributing to the bids. This information is used to modify the success history of the particular LRL in relationship to the corresponding co-contracting ACU. In particular, this involves moving the priorities up for those combinations of ACUs 34 being part of a successful bid (unless they are already at a value of one) and moving priorities down if the particular combination of ACUs 34 was not part of a successful bid. In this way the priorities change over time in a learning process.

Referring now to Fig. 9, it can be seen that for a given ACU A, over time the success history table 72 will assign priority values 78 to its relationship with subcontracting ACUs A B and C. Likewise priorities will be assigned at the LRLs of

ACUs B and C for their relationships with ACU's D and E and F and G, respectively. By following the path of highest priorities (implicit in the process described above), quicker conclusions of a bid will be obtained (with fewer bid messages) so long as the bid successes are consistent on a historical basis.

5 Because of the extremely large solution space and large number of possible chains through ACUs in the bidding process, it is desirable to limit the amount of time before which a response to a request for bid must be received. This prevents lost messages from stalling the process and truncates extremely long searches through possible solution space.

10 Referring now to Fig. 10, accordingly each request for bid is attached to a response time value 92. This is done by the global resource locator 40 as it handles communications of messages related to different contexts per the context coordination protocols 102 shown in Fig. 11. As noted above, a request for bid will include the portion of the description that could not be implemented by the current ACU and thus requires
15 further requests for bid from other ACUs. This response time value 92 is propagated in modified form in all subsequent request for bids by those ACUs 34 receiving the initial request for bid. The modification subtracts from the response time value 92 at each level in the bidding process, time necessary for processing the initial request for bid. So for example, and ACU A may transmit a request for bid 91 having a response time value 92
20 of 1.0 to ACU B. ACU B in turn may make other requests for bids 91' having attached response time values 92 of 0.7, allowing 0.3 of processing time for ACU B to respond. This chain is continued with each subsequent ACU 34 requesting a response time value that is less than the time allotted to it from the previous ACU 34 so that prompt response may be guaranteed. The response time values 92 of Fig. 10 are preserved independently
25 for each context of the ACU.

In this way, it can be assured that ACU B receives its bid responses in sufficient time to forward them to ACU A in the time it requires. Bids received after the response time value 92 are treated as failures.

It is specifically intended that the present invention not be limited to the
30 embodiments and illustrations contained herein, but modified forms of those embodiments including portions of the embodiments and combinations of elements of different embodiments as come within the scope of the following claims.

CLAIMS

WE CLAIM:

1. A method of coordinating a plurality of autonomous cooperative units (ACUs) implemented in computers intercommunicating on an electronic network and operating to divide a predefined job among ACUs by a bidding process in which bid requests are communicated on the electronic network among the ACUs, the method comprising the steps

5 of:

(a) for each ACU identifying potential bid request receivers being a subset of all ACUs of the plurality;

(b) storing a list of potential bid request receivers in the computer implementing the ACU;

(c) receiving a description of a job at a given ACU;

(d) preferentially communicating requests for bids related to the description of the job from the given ACU only with other ACUs of the list of potential bid request receivers; whereby communication traffic on the network may be reduced.

2. The method of claim 1 wherein each ACU is associated with at least one capability describing operations of the process controlled by the ACU and wherein step (a) identifies potential bid request receivers based on the capability of a given ACU and a relation table relating capabilities of a bidding ACU to capabilities of a potential bid receiver.

3. The method of claim 1 wherein each ACU is associated with capabilities describing operations of the process controlled by the ACU and related to completion of a portion of the job and further including the steps of:

(e) storing at a designated computer a relation table relating ACUs to capabilities of potential bid request receivers for those ACUs;

(f) collecting from each ACU at a designated computer on the network, the capabilities of each ACU; and

(g) transmitting from the designated computer on the network to computers implementing a given ACU, potential bid request receivers for the given ACUs based on capabilities of other ACUs matching the relation table entry for the given ACU.

4. The method of claim 3 wherein each ACU transmits its capabilities to the designated computer upon initialization of the ACU.

5. The method of claim 3 wherein the designated computer also implements at least one of the ACUs.

6. The method of claim 3 wherein the designated computer stores the capabilities of each ACU in a central registry and wherein the list of potential bid request receivers transmitted to the given ACU defines a cluster of ACUs smaller than the number of potential bid request receivers held in the central registry.

7. The method of claim 6 wherein the list of potential bid request receivers includes information indicating the likelihood of an ACU on that list being able to complete a portion of a job, and wherein step (d) of preferentially communicating requests for bids from the given ACU to other ACUs on the list of potential bid request receivers includes the steps of:

- (i) reviewing at the given ACU the list of potential bid request receivers for ACUs likely to complete a portion of the job;
- (ii) when there are no likely ACUs, communicating with the designated computer for a new cluster of ACUs to update the list of potential bid request receivers;
- (iii) preferentially communicating requests for bids related to the description of the job from the given ACU only with other ACUs of the updated list of potential bid request receivers.

8. The method of claim 7 wherein the designated computer responds to the communications of step (ii) by providing a new cluster of potential bid request receivers according to the criterion of the ACUs of the new cluster being different from the ACUs of the cluster it replaces.

9. The method of claim 8 wherein at step (ii) the given ACU also communicates to the designated computer that the list of potential bid request receivers does not include an ACU with a desired capability and wherein the designated computer provides a new cluster by changing the relation table relating ACUs to capabilities of potential bid request receivers for those ACUs by adding a new capability of potential bid request receivers of the given ACU.

10. The method of claim 9 wherein the ACU stores historical data tracking the likelihood of another ACU accepting a bid request and wherein at step (ii) the given ACU also communicates to the designated computer that list of potential bid request receivers does not include an ACU with a likelihood of accepting a bid request and wherein the designated computer responds by providing a new cluster by using the relation table to identify new potential bid request receivers for the given ACUs based on capabilities of other ACUs matching the relation table entry for the given ACU.

11. In an industrial controller including a plurality of autonomous cooperative units (ACUs) implemented in computers intercommunicating on an electronic network and operating generally according to a stored program to divide a predefined job among ACUs by a bidding process including bids communicated on the electronic network among the ACUs, the improvement comprising additional stored program executed by the ACUs to:

- (a) identify potential bid request receivers for each ACU being a subset of all ACUs of the plurality;
 - (b) at each ACU storing a list of potential bid request receivers in the computer implementing the ACU;
 - (c) receiving at a given ACU a description of a job;
 - (d) cause the given ACU to preferentially communicate requests for bids related to the description of the job from the given ACU only with other ACUs of the list of potential bid request receivers;
- whereby communication traffic on the network is reduced.

12. The industrial controller of claim 11 wherein each ACU is associated with capabilities related to completion of a portion of the job and wherein the ACUs further execute the program steps of:

- (e) storing at a designated computer a relation table relating ACUs to capabilities of potential bid request receivers for those ACUs;
- (f) collecting from each ACU at a designated computer on the network the capabilities of each ACU; and
- (g) transmitting from the designated computer on the network to computers implementing a given ACU, potential bid request receivers for the given ACUs based on capabilities of other ACUs matching the relation table entry for the given ACU.

13. The industrial controller of claim 12 wherein the ACUs further execute the program so that each ACU transmits its capabilities to the designated computer upon initialization of the ACU.

14. The industrial controller of claim 12 wherein the designated computer also implements at least one of the ACUs.

15. The industrial controller of claim 12 wherein the designated computer further executes program steps to store the capabilities of each ACU in a central registry and wherein the list of potential bid request receivers transmitted to the given ACU defines a cluster smaller than the number of potential bid request receivers held in the central registry.

16. The industrial controller of claim 15 wherein the list of potential bid request receivers includes capabilities of the listed ACU to completion of a portion of a job, and wherein program step (d) of preferentially communicating requests for bids from the given ACU to other ACUs on the list of potential bid request receivers includes the program steps of:

(i) reviewing at the given ACU the list of potential bid request receivers for ACUs having capabilities matching the received description of the job;

(ii) when there are no matching ACUs, communicating with the designated computer for a new cluster of ACUs having capabilities matching the relation table entry for the given ACU to update the list of potential bid request receivers;

(iii) preferentially communicating requests for bids related to the description of the job from the given ACU only with other ACUs of the updated list of potential bid request receivers.

17. The industrial controller of claim 16 wherein the designated computer responds to the communications of step (ii) by providing a new cluster of potential bid request receivers.

18. The industrial controller of claim 17 wherein at step (ii) the given ACU also communicates with the designated computer that the list of potential bid request receivers does not include an ACU with a desired capability and wherein in response the designated computer provides a new cluster by changing the relation table relating ACUs to capabilities of potential bid request receivers for those ACUs by adding a new capability of potential bid request receivers of the given ACU.

19. The industrial controller of claim 18 wherein the ACU stores historical data tracking the likelihood of another ACU accepting a bid request and wherein at step (ii) the given ACU communicates to the designated computer that list of potential bid request receivers does not include an ACU with a likelihood of accepting a bid request and wherein the designated computer responds by providing a new cluster by using the relation table to identify new potential bid request receivers for the given ACUs based capabilities of other ACUs matching the relation table entry for the given ACU.

20. The industrial controller of claim 11 wherein each ACU is associated with at least one capability describing operations of the process controlled by the ACU and wherein program step (a) identifies potential bid request receivers based on the capability of a given ACU and a list relating capabilities of a bidding ACU to capabilities of a potential bid receiver.

ABSTRACT

A distributed control system employs a number of autonomous cooperative units that intercommunicate with bids and counter bids to allocate the production of a product among them. Network traffic caused by these bid messages is significantly reduced by creating as a central clearinghouse, a global resource locator that can identify autonomous cooperative units more likely to be receptive to bids from other autonomous cooperative units. Portions of the data of the global resource locator are held at the particular computers implementing the autonomous cooperative units in a cache-like structure that may be referred to without network communication. Misses within this cache structure cause a refreshing of the cache from the global resource locator and an updating of a learning relation table that further improves predictions in the future. Historical successes in bidding are stored at the cache structure to further aid in selecting likely bid recipients.

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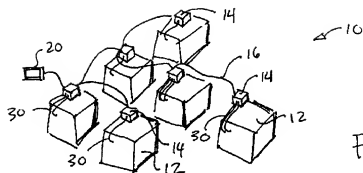


Fig 1

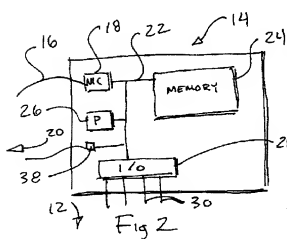


Fig 2

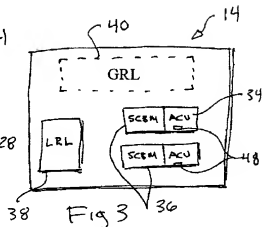


Fig 3

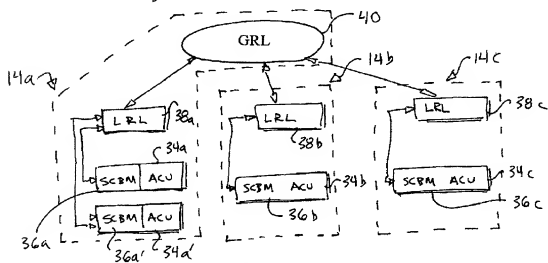


Fig 4

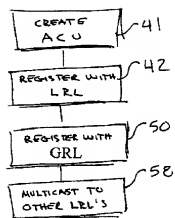


Fig 5

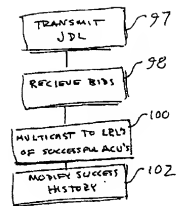
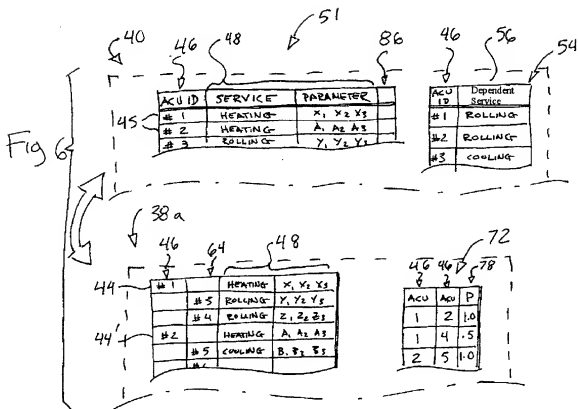


Fig 8



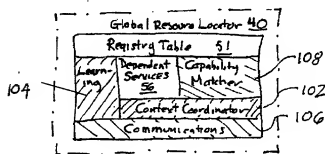
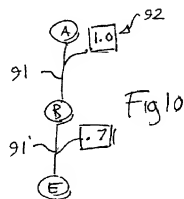
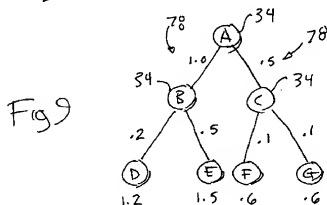
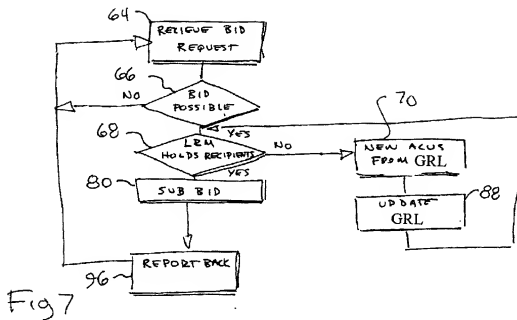


Fig 11

As a below named inventor, I hereby declare that:

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **GLOBAL RESOURCE LOCATOR FOR AUTONOMOUS COOPERATIVE CONTROL SYSTEMS** the specification of which:

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulation, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

(Application No.)	(Country)	(Filing Date)
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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below

<u>60/</u> (Application No.)	<u>US</u> (Country)	<u>06/14/2000</u> (Filing Date)
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I hereby claim the benefit under Title 35, U.S.C., § 120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application Serial No.)	Filing Date)	(Status-patented, pending, abandoned)
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